



# Theory of electric dipole spin resonance in quantum dots: Mean field theory with Gaussian fluctuations and beyond

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Very recently, the electric dipole spin resonance (EDSR) of single electrons in quantum dots was discovered by three independent experimental groups. Remarkably, these observations revealed three different mechanisms of EDSR: coupling of electron spin to its momentum (spin-orbit), to the operator of its position (inhomogeneous Zeeman coupling), and to the hyperfine Overhauser field of nuclear spins. In this paper, I present a unified microscopic theory of these resonances in quantum dots. A mean field theory, derived for all three mechanisms and based on retaining only two-spin correlators, justifies applying macroscopic description of nuclear polarization to the EDSR theory. In the framework of the mean field theory, a fundamental difference in the time dependence of EDSR inherent of these mechanisms is revealed; it changes from the Rabi-type oscillations to a nearly monotonic growth. The theory provides a regular procedure to account for the higher nuclear-spin correlators that become of importance for a wider time span. For the hyperfine mechanism, they change the asymptotic behavior of EDSR dramatically. The theory also allows evaluating the effect of electron-spin dynamics on the effective coupling between nuclear spins.

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## I. INTRODUCTION

One of the principal avenues of semiconductor spintronics is based on the electrical manipulation of electron spins in single and double quantum dots that are envisioned as prospective blocks for quantum computation.<sup>1,2</sup> There are two major aspects of this problem. First is based in electrical control of spin populations by means of the Coulomb and Pauli blockade when electric current passing through the dot populates or depopulates specific spin states.<sup>3,4</sup> Second aspect is based on direct electrical operation of electron spin by means of the electric dipole spin resonance (EDSR).<sup>5,6</sup> Recently, it was achieved in quantum dots by three different mechanisms.<sup>7-9</sup>

Nowack *et al.*<sup>7</sup> observed Rabi oscillations driven by the electric field generated by ac gate voltage and coupled to electron spin via the traditional spin-orbit (SO) interaction. Laird *et al.*<sup>8</sup> reported hyperfine-mediated gate-driven EDSR remarkable for a nearly monotonic increase in spin polarization rather than Rabi oscillations of it. The underlying mechanism is spatial inhomogeneity of the Overhauser field acting on electron spin. It is physically allied to the EDSR mediated by a spatially dependent Zeeman Hamiltonian due to the spatial dependence of either the external<sup>10-12</sup> or exchange<sup>10,13</sup> magnetic field, or of the Landé  $g$  factor.<sup>14</sup> The SO mechanism may dominate in strong external magnetic fields  $B$  but is suppressed in weak fields because the Kramers' theorem requires (in confined geometries) the Rabi frequency to vanish linearly in  $B$  as  $B \rightarrow 0$ ,<sup>15-18</sup> while the hyperfine mechanism survives in the  $B \rightarrow 0$  limit due to the broken time-inversion symmetry and, therefore, dominates in weak magnetic fields.<sup>19</sup> More recently, Pioro-Ladrière *et al.*<sup>9</sup> achieved EDSR in a double dot by employing a spatially inhomogeneous (slanting) stray field of a micromagnet and proved the high efficiency of this approach. The traditional electron-spin resonance (ESR) driven by an ac magnetic field

has also been achieved in quantum dots;<sup>20</sup> however, EDSR promises higher efficiency and provides easier access to individual spins at nanoscale. Golovach *et al.*<sup>17</sup> estimated relative intensities of ESR and SO-mediated EDSR in quantum dots and concluded that electron spin can be operated at a timescale of 10 ns.

The research described in this paper was inspired by the observation of single-electron EDSR in GaAs quantum dots.<sup>7-9</sup> Electron-spin dynamics in such dots is a challenging problem because of the hyperfine coupling of the electron spin to nuclear-spin bath. As applied to spin relaxation in quantum dots, a mean field theory approach advanced by Merkulov *et al.*,<sup>21</sup> Khaetskii *et al.*,<sup>22</sup> and Erlingsson and Nazarov<sup>23</sup> proved rather successful. However, some important aspects of electron-spin relaxation and dephasing cannot be described in the framework of the mean field approach, and dynamics of a single-electron spin in a nuclear-spin environment developed recently into a rather extensive field, e.g., see papers<sup>24-29</sup> and references therein.

This paper is aimed in calculating the electron-spin-flip probability  $W(t)$  as a function of time  $t$ . For a simple two-level system the celebrated Rabi formula states

$$W_R(t) = f^2 \frac{\sin^2[\sqrt{(\epsilon/2)^2 + f^2} t / \hbar]}{(\epsilon/2)^2 + f^2}, \quad (1)$$

where  $\epsilon$  stands for the detuning and  $f$  for driving. It is highly attractive to apply the mean field approach to EDSR, as it allows replacing the nuclear reservoir coupled to the electron spin by a classical field. Then one anticipates that  $\epsilon$  and  $f$  can be expressed in terms of this field. Because experiments measure the response to a long train of the electric field pulses rather than to individual pulses,<sup>7-9</sup> Eq. (1) should be properly averaged over the nuclear magnetization that changes substantially during the train.

The main goals of the present paper are (i) deriving mean field equations for three basic mechanisms of EDSR, (ii) developing efficient techniques for simplifying mean field integrals for spin-flip probability  $W(t)$  and finding their asymptotic behavior at large  $t$  for various EDSR mechanisms, (iii) developing a regular procedure for calculating corrections to the mean field results and establishing the time scale at which these corrections become essential and even critical, and (iv) evaluating the effect of the forced precession of electron spin on the dynamics of nuclear bath. The importance of these problems becomes more evident from the following arguments.

First, as distinct from ferromagnets, where macroscopic magnetization is stabilized by strong exchange interaction, the direct interaction of nuclear spins in quantum dots is very weak. Therefore, the description of nuclear polarization as a macroscopic field acting on electron spin is based on (i) the large number of nuclear spins inside the dot,  $N \gg 1$ , typically  $N \approx 10^5 - 10^6$ , and (ii) their slow dynamics compared with electron-spin dynamics. The probability  $W_R(t)$  in Eq. (1) depends on the parameters  $\epsilon$  and  $f$  in a complicated way, and these parameters depend on all  $N$  of the nuclear spins  $\mathbf{I}_j$ . Since the spin-flip probability should be averaged over all the nuclear-spin configurations during the measurement, only the averaged value of  $W_R(t)$  is of physical significance, and deriving the mean field expression for it is a challenging problem. In particular, Gaussian averaging over the nuclear variables implied by the *Central Limit Theorem* needs a convincing proof because the accuracy of substituting the ensemble of loosely coupled nuclear spins by a coherent force acting on the electron spin is not obvious, especially as applied to the hyperfine EDSR mechanism.

Second, mean field theory is expressed in terms of two-fold or threefold integrals, depending on the EDSR mechanism. While numerical methods allow calculating  $W(t)$  curves for specific parameters values, only analytical theory can unveil the basic qualitative regularities typical of different EDSR mechanisms. In particular, asymptotic behavior of  $W(t)$  at large  $t$  is of special interest, and given the complicated expressions for the integrands, finding asymptotics is a demanding task, especially as applied to multiple integrals. The hyperfine EDSR mechanism provides the most instructive example because of the different asymptotic behavior of  $W(t)$  in various regions of the parameter values.

Third, because of the interplay between several competing parameters, the accuracy of the mean field approach strongly depends on the specific problem and the time span involved. This makes developing a systematic procedure for going beyond the mean field theory and evaluating corrections to it a high priority. While formally such corrections are of the order of  $1/N$ , their effect might become rather essential at a relatively short time  $t$ . For example, for the hyperfine mechanism of EDSR, this time depends on  $N$  even weaker than logarithmically, only as  $t \propto \sqrt{\ln N}$ .

Fourth, mean field theory is based essentially on the slowness of nuclear-spin dynamics. It is controlled by both the direct dipole-dipole interaction and indirect coupling involving the electron spin. The latter can dominate and is proportional to  $1/\omega_s$ ,  $\omega_s$  being the electron Larmor frequency; hence, it is small only when  $\omega_s$  is sufficiently large. How-

ever, under the conditions of EDSR the Zeeman term vanishes in the rotating frame,<sup>30,31</sup> and  $1/(\omega_s - \omega)$  diverges. This observation indicates that indirect hyperfine-mediated coupling between nuclear spins becomes time dependent and poses the question whether it can experience resonant enhancement. Detailed analysis shows that indeed spin-spin coupling is time dependent, but there is no considerable acceleration of the nuclear-spin dynamics.

In what follows, I consider different driving forces (SO, Zeeman, and hyperfine mediated), and the hyperfine spin-relaxation mechanism that usually dominates in quantum dots, and derive mean field theory equations. It turns out that in all three cases the mean field theory reduces to averaging over the longitudinal and transverse fluctuations of nuclear magnetization, in agreement with intuitive arguments. The results provide a justification for previous theoretical work performed in the framework of this approach. Mathematically, the derivation of mean field theory is based on relating separate terms of the power series for the electron-spin-flip probability  $W(t)$  to Eulerian  $\Gamma$  functions of integer or half-integer arguments and employing their integral representations. The theory allows us to derive, in the framework of a unified technique, time dependence of EDSR that is oscillatory for the SO and Zeeman mechanisms, in good agreement with the theory of Koppens *et al.*,<sup>32</sup> and shows a nearly monotonic increase for the hyperfine mechanism in accordance with the conclusions by Laird *et al.*<sup>8</sup> In the latter case,  $W(t)$  approaches its saturation value  $W_\infty$  very fast, like a Gaussian exponent, according to the mean field theory, while corrections to this theory show a slow power-law decay.

In this paper, the theory is developed as applied to a single quantum dot; second dot can only serve for probing spin dynamics. The model includes neither electric current across the dot nor the coupling to thermal bath that can result in electrical pumping of nuclear-spin polarization and the back action of this magnetization on the electron spin.<sup>33,34</sup> In electrically pumped double dots this back action is known to result in strong Overhauser fields and instabilities that were observed in the Pauli blockade regime.<sup>8,20,35,36</sup> For double dots, there is recent progress in the controllable electrical generation of nuclear-spin polarization<sup>37,38</sup> and suppression of electron-spin dephasing caused by random fluctuations.<sup>39</sup> With a dynamically pumped nuclear-spin polarization essentially exceeding the polarization from Poissonian fluctuations employed in Ref. 8 (about 50 G), one can expect dramatic enhancement of the hyperfine-mediated EDSR. I expect that the techniques developed in this paper can be extended to those more general regimes.

The paper is organized as follows. In Sec. II, EDSR Hamiltonians are derived for three mechanisms of the coupling of electron spin to the driving electric field, and afterwards transformed to a form convenient for calculating EDSR probability  $W(t)$ . A general mean field expression for  $W(t)$  is derived in Sec. III. In Sec. IV, the equation for  $W(t)$  is simplified as applied to the SO and Zeeman (magnetic) mechanisms of EDSR, and the asymptotic behavior of  $W(t)$  is found. In Sec. V, the same program is performed for a more challenging problem of hyperfine-mediated EDSR. Sec. VI summarizes the basic approaches and results. Nuclear-spin relaxation rate in a transient regime is calcu-

lated in Appendix A, where absence of its resonance enhancement is shown. Appendix B includes estimates of the corrections to mean field theory and proves that for the hyperfine EDSR mechanism they change the asymptotic behavior of  $W(t)$  and become substantial at rather short times.

## II. HAMILTONIAN AND ITS TRANSFORMATION

The Hamiltonian that is used in what follows is

$$H = H_0 + H_{\text{el}}(t) + H_Z + H_{\text{SO}}(\mathbf{k}, \mathbf{r}) + H_{\text{hf}}(\mathbf{r}). \quad (2)$$

Here  $H_0$  is the zero-order Hamiltonian

$$H_0 = \frac{\hbar^2 \mathbf{k}^2}{2m} + \frac{m\omega_0^2}{2} \mathbf{r}^2 + V(y), \quad (3)$$

describing an electron moving in  $(x, z)$  plane in a parabolic quantum dot,  $\mathbf{r}=(x, z)$ , with the in-plane confinement frequency  $\omega_0$ , being rigidly confined in  $y$  direction by the potential  $V(y)$ .<sup>40</sup> Second term

$$H_{\text{el}}(t) = e\tilde{\mathbf{E}}(t) \cdot \mathbf{r}, \quad \tilde{\mathbf{E}}(t) = 2\tilde{\mathbf{E}} \cos \omega t, \quad (4)$$

describes the potential energy of the electron, with a charge  $(-e)$ , in an in-plane driving electric field  $\tilde{\mathbf{E}}(t) \perp \hat{y}$ . Third term

$$H_Z = -|g|\mu_B(\mathbf{B} \cdot \mathbf{s}), \quad \mathbf{s} = \boldsymbol{\sigma}/2, \quad (5)$$

is the Zeeman energy for an electron with a negative  $g$  factor,  $g < 0$ , like in GaAs and InAs, and  $\mathbf{s} = \boldsymbol{\sigma}/2$  and  $\boldsymbol{\sigma}$  are the electron-spin operator and vector of Pauli matrices, respectively, with  $\mu_B = e\hbar/2m_0c$  being the Bohr magneton. With a homogeneous field  $\mathbf{B}$  in the confinement plane,  $\mathbf{B} \perp \hat{y}$ , as in Refs. 7 and 8, the diamagnetic contribution to the first term in  $H_0$  can be disregarded, hence,  $\mathbf{k}$  will be identified as a canonical momentum.

Generalized SO Hamiltonian  $H_{\text{SO}}(\mathbf{k}, \mathbf{r})$  consists of two terms,  $H_{\text{SO}}(\mathbf{k}, \mathbf{r}) = H_{\text{SO}}(\mathbf{k}) + H_{\text{SO}}(\mathbf{r})$ . Here  $H_{\text{SO}}(\mathbf{k})$  is the usual momentum-dependent SO interaction, and only the linear in  $\mathbf{k}$  terms will be considered in what follows. In the geometries of Refs. 7 and 8, the field  $\tilde{\mathbf{E}}(t)$  was applied along the face-diagonal direction, in our notations along  $[1, 0, 1]$ . In the related coordinate frame, the Rashba and Dresselhaus contributions to  $H_{\text{SO}}$  can be written as  $H_R = \alpha_R(\boldsymbol{\sigma} \times \mathbf{k})_y$  and  $H_D = \alpha_D(\sigma_x k_z + \sigma_z k_x)$ . With  $z$  axis chosen along  $\tilde{\mathbf{E}}(t)$ ,  $\tilde{\mathbf{E}} = \tilde{E}\hat{z}$ , only the  $k_z$  component of the momentum  $\mathbf{k}$  matters in  $H_{\text{SO}}(\mathbf{k})$ . Hence,  $H_{\text{SO}}(\mathbf{k})$  reduces to a single term

$$H_{\text{SO}}(\mathbf{k}) = \alpha \sigma_x k_z, \quad (6)$$

with  $\alpha = \alpha_D - \alpha_R$ .<sup>41</sup> Also, the homogeneous field  $\mathbf{B}$  will be chosen as  $\mathbf{B} = B\hat{z}$  because this is the simplest geometry in which  $H_{\text{SO}}(\mathbf{k})$  of Eq. (6) mediates spin flips.

The term  $H_{\text{SO}}(\mathbf{r})$  describes SO interaction originating from the inhomogeneity of magnetic field, the mechanism employed in Ref. 9. With the polarization of the driving field  $\tilde{\mathbf{E}} = \tilde{E}\hat{z}$ , only the  $z$  dependence of  $H_{\text{SO}}(\mathbf{r})$  matters, and to simplify calculations,  $H_{\text{SO}}(\mathbf{r})$  will be chosen linear in  $z$ . Keeping only the  $y$  component of the stray field,<sup>9</sup> one arrives at

$$H_{\text{SO}}(\mathbf{r}) = \beta \sigma_y z, \quad (7)$$

where  $\beta$  is a slanting coefficient.

The standard expression for the hyperfine Hamiltonian is

$$H_{\text{hf}}(\mathbf{r}) = A \sum_j \delta(\mathbf{r} - \mathbf{r}_j) (\mathbf{I}_j \cdot \mathbf{s}), \quad A = \frac{16\pi}{3I} \eta \mu_B \mu_n, \quad (8)$$

where summation is performed over all lattice sites  $j$ ,  $\mathbf{I}_j$  are operators of nuclear momenta,  $I=3/2$  for GaAs,  $\mu_n$  are magnetic moments of nuclei (difference in  $\mu_n$  values for different nuclei is disregarded), and  $\eta$  is the enhancement factor. For GaAs, a rough estimate  $An_0 \approx 10^{-4}$  eV can be used, with  $n_0 = 4.5 \times 10^{22}$  cm<sup>-3</sup> for the concentration of nuclei.<sup>42</sup>

### A. Transformation into the moving-dot frame

In what follows,  $H_{\text{SO}}(\mathbf{k}, \mathbf{r})$  and  $H_{\text{hf}}(\mathbf{r})$  will be considered as small compared with  $\hbar\omega_0$ . However, before applying perturbation theory, it is convenient to eliminate the zero mode inherent in the problem of a parabolic dot in a homogeneous field  $\tilde{\mathbf{E}}(t)$ . This mode manifests itself in keeping the shape of the electron cloud unchanged when it is displaced by an external homogeneous and time-independent electric field.<sup>43</sup> This can be conveniently achieved by performing a time-dependent canonical transformation of the Schrödinger equation  $i\hbar\partial_t\Psi = H\Psi$  as

$$\Psi(\mathbf{r}, y, t) \rightarrow \mathbf{e}^{-i\mathbf{k}\cdot\mathbf{R}(t)} \Psi(\mathbf{r}, y, t), \quad \mathbf{R}(t) = -e\tilde{\mathbf{E}}(t)/m\omega_0^2; \quad (9)$$

it describes changing to a coordinate frame moving with the dot. This choice of  $\mathbf{R}(t)$  allows eliminating the driving term  $H_{\text{el}}(t)$  and the zero mode. The transformation of Eq. (9) also produces a term  $-e^2\tilde{E}^2(t)/2m\omega_0^2$  that has no physical significance and can be eliminated by an additional canonical transformation. However, two different results of the transformation to the moving-dot frame have important consequences.

First, instead of the term  $H_{\text{el}}(t)$  a new term  $\tilde{H}_{\text{el}}(t)$  appears in the Hamiltonian

$$\tilde{H}_{\text{el}}(t) = -2 \frac{e\hbar\omega}{m\omega_0^2} (\mathbf{k} \cdot \tilde{\mathbf{E}}) \sin \omega t. \quad (10)$$

This term, in conjunction with  $H_{\text{SO}}(\mathbf{k})$ , drives the SO-mediated EDSR. A factor  $\omega/\omega_0 \ll 1$  in Eq. (10) emphasizes a critical role of nonadiabaticity for this type of SO coupling and relates the EDSR intensity to it. If the spin resonance frequency  $\omega_s = |g|\mu_B B/\hbar$  is small compared with  $\omega_0$ , as is typical of GaAs, then  $\omega/\omega_0 \approx \omega_s/\omega_0 \ll 1$ . This is the special form in which the EDSR suppression due to the Kramers theorem<sup>15-18</sup> manifests itself as applied to parabolic quantum dots.

Second, the canonical transformation of Eq. (9) changes the operator  $\mathbf{r}$  as

$$\mathbf{r} \rightarrow e^{i\mathbf{k}\cdot\mathbf{R}(t)} \mathbf{r} e^{-i\mathbf{k}\cdot\mathbf{R}(t)} = \mathbf{r} + \mathbf{R}(t). \quad (11)$$

This time-dependent shift of  $\mathbf{r}$  by  $\mathbf{R}(t)$  transforms the  $\mathbf{r}$ -dependent operators  $H_{\text{SO}}(\mathbf{r})$  and  $H_{\text{hf}}(\mathbf{r})$  as  $H_{\text{SO}}(\mathbf{r}) \rightarrow H_{\text{SO}}[\mathbf{r} + \mathbf{R}(t)]$  and  $H_{\text{hf}}(\mathbf{r}) \rightarrow H_{\text{hf}}[\mathbf{r} + \mathbf{R}(t)]$ . As applied to  $H_{\text{SO}}(\mathbf{r})$  of Eq. (7), the time-independent term  $\beta\sigma_y z$  produces EDSR only in conjunction with  $\tilde{H}_{\text{el}}(t)$ ; hence, this contribution is suppressed by the nonadiabaticity factor  $\omega/\omega_0$  and will be omitted. The dominant term in the transformed

$H_{\text{SO}}(\mathbf{r})$  comes from the  $z$  component of  $\mathbf{R}(t)$  and equals

$$H_m(t) = \beta\sigma_y Z(t). \quad (12)$$

It is not subject to the Kramers suppression because of the breaking time-inversion symmetry.

### B. Projecting onto the ground state

At this moment, it is convenient to project the moving frame Hamiltonian onto the oscillator-type ground state of the zero-order Hamiltonian  $H_0$ . Two terms in the total Hamiltonian  $H$ ,  $H_{\text{SO}}(\mathbf{k})$  and  $\tilde{H}_{\text{el}}(t)$ , are nondiagonal in oscillator quantum numbers. Projecting them onto the oscillator ground state requires performing a standard (Luttinger-Kohn<sup>44</sup> or Schrieffer-Wolff<sup>45</sup> type) canonical transformation<sup>44</sup>

$$(H_0 + H_1) \rightarrow e^T (H_0 + H_1) e^{-T} \quad (13)$$

with  $H_1 = H_{\text{SO}}(\mathbf{k}) + \tilde{H}_{\text{el}}(t)$ . Choosing  $T$  from the condition of canceling the linear in  $H_1$  term,  $H_1 + [T, H_0] = 0$ , the Hamiltonian reduces to  $(H_0 + H_1) \rightarrow H_0 - [T, (H_0 + H_1)]/2$  in the quadratic in  $T$  approximation. Solving the equation for  $T$  results in  $\langle 0|T|1\rangle = -\langle 0|H_1|1\rangle/\hbar\omega_0$ , where  $|0\rangle$  and  $|1\rangle$  are standing for the oscillator ground and first excited state, respectively. Then, using Eqs. (6) and (10) and keeping in the second-order correction only the term oscillating at frequency  $\omega$ , one arrives at a Hamiltonian  $H_0 + H_{\text{SO}}(t)$  with

$$H_{\text{SO}}(t) = 2 \frac{\alpha e \tilde{E}}{\hbar \omega_0} \frac{\omega}{\omega_0} \sigma_x \sin \omega t = 2 \frac{e \tilde{E} r_0^2}{\ell_{\text{SO}} \omega_0} \frac{\omega}{\omega_0} \sigma_x \sin \omega t. \quad (14)$$

This operator describes the joint effect of  $H_{\text{SO}}(\mathbf{k})$  and  $\tilde{H}_{\text{el}}(t)$ . In the projected Hamiltonian  $H_0 = \hbar\omega_0/2$ , this constant having no effect on spin dynamics will be omitted. When deriving Eq. (14), the expression  $|\langle 0|k_z|1\rangle|^2 = m\omega_0/2\hbar$  for the matrix element of the momentum was used. In this equation  $r_0 = \sqrt{\hbar/m\omega_0}$  is the electron ground-state radius, and  $\ell_{\text{SO}} = \hbar^2/m\alpha$  is a characteristic SO length. Equation (14) holds when  $\epsilon_\alpha, e\tilde{E}r_0(\omega/\omega_0) \ll \hbar\omega_0$ , with  $\epsilon_\alpha = m\alpha^2/\hbar^2$  for the characteristic SO energy.

Averaging  $H_{\text{hf}}[\mathbf{r} + \mathbf{R}(t)]$  over the ground state  $\Psi_0(\mathbf{r}, y)$  of the dot, expanding the average in  $\mathbf{R}(t)$  and keeping two leading terms of the expansion, results in two hyperfine contributions to the Hamiltonian

$$H_{\text{hf}}^0 = A \sum_j \Psi_0^2(\mathbf{r}_j, y_j) (\mathbf{I}_j \cdot \mathbf{s}),$$

$$H_{\text{hf}}(t) = A \sum_j (\mathbf{R}(t) \cdot \nabla_{\mathbf{r}_j}) \Psi_0^2(\mathbf{r}_j, y_j) (\mathbf{I}_j \cdot \mathbf{s}); \quad (15)$$

here and below  $\Psi_0(\mathbf{r}, y)$  is chosen real. The criterion of this expansion,  $Z(t) \ll r_0$ , is equivalent to  $e\tilde{E}r_0 \ll \hbar\omega_0$ .

The term  $H_{\text{hf}}^0$  is time independent and describes the hyperfine corrections to the electron Zeeman splitting in the field  $\mathbf{B} = B\hat{z}$  and the electron-mediated coupling between nuclear spins. It will be discussed in Sec. II C in more detail.

The term  $H_{\text{hf}}(t)$  oscillates at the frequency  $\omega$  and results in hyperfine-mediated EDSR. Below, only step-up and step-

down spin operators responsible for these transitions will be retained in  $H_{\text{hf}}(t)$ ; hence, it reduces to the form

$$H_{\text{hf}}^\pm(t) = \frac{A}{4} \sum_j Z(t) \cdot \partial_{z_j} [\Psi_0^2(\mathbf{r}_j, y_j)] (I_j^\pm \sigma_- + I_j^\pm \sigma_+), \quad (16)$$

where  $\sigma_\pm = \sigma_x \pm i\sigma_y$ , and  $I_j^\pm = I_j^x \pm iI_j^y$ .

Finally, the Hamiltonian in the quantum dot reference frame reads

$$H = [H_Z + H_{\text{hf}}^0] + [H_{\text{SO}}(t) + H_m(t) + H_{\text{hf}}^\pm(t)]. \quad (17)$$

Three terms in second bracket represent three EDSR mechanisms discussed above. In deriving this Hamiltonian, only linear in  $\mathbf{R}(t)$  terms were retained. Terms of the higher order in  $\mathbf{R}(t)$ , as well as higher harmonics in Eq. (14) and some different terms, require a theory including parametric excitations.<sup>46</sup>

### C. Spin-spin coupling operator

In this paper, electron-spin coupling to the nuclear-spin bath and the spectral diffusion caused by this coupling are considered as the only source of electron-spin decoherence because of the general scope of the paper focused on the hyperfine coupling and especially on the interplay between its contributions to different terms of the perturbation theory. This decoherence mechanism was recently discussed in the context of free spin induction decay and Hahn echo by Witzel and Das Sarma<sup>25</sup> and Yao *et al.*<sup>26</sup> see also references in these papers to extensive literature on the subject. Electron-spin decoherence originates from the spin dynamics in the nuclear bath caused by both the intrinsic mechanisms (like dipole-dipole interaction) and the extrinsic mechanism mediated by the hyperfine coupling of nuclear spins to the electron spin through the operator  $H_{\text{hf}}^0$  of Eq. (15). In what follows, only the latter mechanism will be considered because it facilitates effective nuclear-spin-spin coupling at the large spatial scale of  $r_0$ .

The term  $H_{\text{hf}}^0 = H_{\text{hf}}^\parallel + H_{\text{hf}}^\perp$  includes two contributions. The longitudinal (secular) part  $H_{\text{hf}}^\parallel$  is proportional to  $s_z$  and describes random fluctuations of the electron Zeeman energy because of the Overhauser field. The transverse part  $H_{\text{hf}}^\perp$ , while depending on  $s_\pm$ , cannot produce real electron-spin-flip transitions because of the large electron Zeeman energy  $\hbar\omega_s = |g|\mu_B B$  (nuclear Zeeman energy is small and will be omitted). However, in the second order of the perturbation theory in  $\omega_s^{-1}$ , the operator  $H_{\text{hf}}^\perp$  results in nuclear-spin non-conservation and spectral diffusion of the Overhauser field. Everywhere below, nuclear spins  $\mathbf{I}_j$  will be considered as classical variables, i.e., their commutators will be disregarded. This simplifies calculations and should not affect basic results because  $\mathbf{I}_j$  and  $\mathbf{I}_{j'}$  commute for  $j \neq j'$ , and correlators involving more than two-spin operators at the same lattice site are statistically insignificant in the mean field theory developed below (Appendix B is the only exclusion).

Applying the procedure of Eq. (13) to the operator  $H_Z + H_{\text{hf}}^\perp$ , one finds  $T = \sum_j a_j (I_j^\pm \sigma_- - I_j^\pm \sigma_+) / \sqrt{8\hbar\omega_s}$ , with

$$a_j = \frac{A}{\sqrt{2\hbar\omega_s}} \Psi_0^2(\mathbf{r}_j, y_j), \quad (18)$$

and the transformed operator  $H_{\text{hf}}^\perp$  becomes an operator of the effective nuclear-spin-spin coupling

$$H_{\text{ss}} = -\frac{1}{2} \sum_{n \neq m} a_n a_m \Gamma_n^+ \Gamma_m^- \sigma_z. \quad (19)$$

It interchanges the projections of nuclear spins at different lattice sites while keeping electron spin unchanged. This expression for spin-spin coupling recovers the result by Yao *et al.*<sup>26</sup> Because the diagonal term,  $n=m$ , is statistically insignificant for large dots, the  $n \neq m$  constraint can be omitted. Then

$$H_{\text{ss}} = -\frac{1}{2} g_+ g_- \sigma_z, \quad g_\pm = \sum_j a_j I_j^\pm. \quad (20)$$

In similar notations

$$H_{\text{hf}}^\parallel = g_z \sigma_z, \quad g_z = \sqrt{\hbar\omega_s/2} \sum_j a_j I_j^z. \quad (21)$$

We notice that  $g_z$  and  $g_\pm$  have different dimensions.

Substituting Eqs. (20) and (21) into Eq. (17), one arrives at the final form of the Hamiltonian in the dot frame

$$H = [H_Z + H_{\text{hf}}^\parallel + H_{\text{ss}}] + [H_{\text{SO}}(t) + H_m(t) + H_{\text{hf}}^\perp(t)]. \quad (22)$$

Three terms in the first bracket describe the electron Zeeman energy, its random shift due to the longitudinal component of the Overhauser field, and coupling between nuclear spins, respectively. Second bracket describes the driving force acting on the electron spin. It consists of the spin-orbit, inhomogeneous magnetic field, and hyperfine contributions.

In conclusion of this section, one comment regarding the spin-spin Hamiltonian  $H_{\text{ss}}$  of Eq. (19) should be made. It was derived by transforming the static Hamiltonian  $H_Z + H_{\text{hf}}^\perp$ , and the products  $a_n a_m$  in  $H_{\text{ss}}$  are proportional to  $1/\omega_s$ , with  $\omega_s$  playing a role of a large parameter (spin gap) in the energy spectrum. However, in the EDSR regime the situation changes drastically because in the rotating frame Hamiltonian the large frequency  $\omega_s$  is reduced to  $(\omega_s - \omega)$  [see Eq. (25) below]. Therefore, a question arises whether  $H_{\text{ss}}$  can experience a resonant enhancement at  $\omega \rightarrow \omega_s$  because of the “rotating frame singularity.” This problem is considered in Appendix A, where it is shown that  $H_{\text{ss}}$  changes and becomes time dependent at the scale of the Rabi frequency but does not experience any resonant enhancement. Because solving electron-spin dynamics with a time-dependent  $H_{\text{ss}}(t)$  is an extremely challenging (or even impossible) task, in what follows the Hamiltonian  $H_{\text{ss}}$  of Eq. (20) is used as a model Hamiltonian. The magnitude of the coefficients  $a_j$  can be subject to renormalization, but their  $j$  dependence will be chosen according to Eq. (18).

#### D. Rotating frame Hamiltonian

All terms in second bracket of Eq. (22) depend on time harmonically,  $H_{\text{SO}}(t)$  as  $\sin \omega t$  while  $H_m(t)$  and  $H_{\text{hf}}^\perp(t)$  as  $\cos \omega t$ . Applying the standard transformation to the rotating frame<sup>30,31</sup>

$$\Psi(\mathbf{r}, y, t) = \exp(i\sigma_z \omega t/2) \Psi_{\text{RF}}(\mathbf{r}, y, t), \quad (23)$$

which transforms Pauli matrices as

$$\sigma_\pm(t) = \sigma_\pm \exp(\mp i\omega t), \quad \sigma_z(t) = \sigma_z, \quad (24)$$

results in the rotating frame Hamiltonian

$$\hat{H} = \left( \frac{1}{2} \Delta_s + g_z - \frac{1}{2} g_+ g_- \right) \sigma_z + \frac{1}{2} (f_- \sigma_+ + f_+ \sigma_-). \quad (25)$$

When deriving Eq. (25), the rotating wave approximation was applied with all fast-oscillating terms omitted. Here  $\Delta_s = \hbar(\omega - \omega_s)$  is detuning,  $g_z$  is the Overhauser shift, and  $g_+ g_- / 2$  describes second-order coupling to the spin bath.

Using Eqs. (12), (14), and (16), one finds driving terms  $f_\pm$  for different mechanisms of EDSR

$$f_\pm^{\text{SO}} = \pm i(e\tilde{E}r_0^2/\ell_{\text{SO}})(\omega/\omega_0), \quad (26)$$

$$f_\pm^m = \mp i\beta(e\tilde{E}/m\omega_0^2), \quad (27)$$

$$f_\pm^{\text{hf}} = \sum_j b_j I_j^\pm, \quad b_j = -\frac{Ae\tilde{E}}{2m\omega_0^2} \partial_{z_j} [\Psi_0^2(\mathbf{r}_j, y_j)]. \quad (28)$$

It is seen from Eqs. (26)–(28) that for two first mechanisms the expressions for  $f_\pm$  are very similar, hence, a unified theory of EDSR will be developed for them (see Sec. IV). As distinct from them, presence of spin angular momenta  $I_j^\pm$  in the coefficients  $f_\pm^{\text{hf}}$  for the hyperfine-mediated EDSR changes the situation drastically. This mechanism requires a special consideration, and a theory for this type of EDSR is described in Sec. V.

Equation (25) for the Hamiltonian  $\hat{H}$ , Eqs. (20) and (21) for  $(g_z, g_\pm)$ , and Eqs. (26)–(28) for  $f_\pm$  form the basic system of equations. In what follows, they will be solved and spin-flip probabilities will be averaged over nuclear-spin configurations for calculating time dependence of EDSR.

### III. SPIN-FLIP PROBABILITY

With the external magnetic field  $B$  strong enough, the initial electron-spin state can be chosen as  $|\uparrow\rangle$ , and electron wave function in the rotating frame evolves as  $\Psi_{\text{RF}}(t) = \exp(-i\hat{H}t/\hbar)|\Psi_0\uparrow\rangle$ . Then, applying Eq. (23) results in the spin-flip matrix element

$$\langle \downarrow | \Psi_0 | \exp(i\sigma_z \omega t/2) \exp(-i\hat{H}t/\hbar) | \Psi_0 \uparrow \rangle$$

and spin-flip probability

$$W(t) = |\langle \downarrow | \Psi_0 | \exp(-i\hat{H}t/\hbar) | \Psi_0 \uparrow \rangle|^2 \quad (29)$$

because the factor  $\exp(i\sigma_z \omega t/2)$  cancels out. The square of the Hamiltonian  $\hat{H}$  of Eq. (25)

$$\hat{H}^2 \equiv \mathcal{H}^2 = \left( \frac{\Delta_s}{2} + g_z - \frac{1}{2} g_+ g_- \right)^2 + f_+ f_- \quad (30)$$

does not depend on Pauli matrices. Hence, the exponential factor in Eq. (29) can be simplified as

$$\exp(-i\hat{H}t/\hbar) = \cos(\mathcal{H}t/\hbar) - i(\hat{H}/\mathcal{H})\sin(\mathcal{H}t/\hbar). \quad (31)$$

Finally, the transition probability equals

$$W(t) = \left| \langle \Psi_0 | \frac{f_+ f_-}{\mathcal{H}^2} \sin^2(\mathcal{H}t/\hbar) | \Psi_0 \rangle \right|^2. \quad (32)$$

This is the celebrated Rabi formula with  $f_+ f_-$  for the driving term and  $(\Delta_s + 2g_z)$  for detuning.<sup>48</sup> The special feature of Eq. (32) is the presence of the dephasing term  $-g_+ g_- / 2$  originating from the transverse part of the random nuclear magnetization.

Spin oscillations described by  $W(t)$  are controlled by the competition between the quantities  $\Delta_s$ ,  $g_z$ ,  $g_+ g_-$ , and  $f_+ f_-$ , all of them being small compared with the Zeeman energy  $H_Z$  that does not appear in Eq. (32) explicitly. Corrections to the initial state  $|\Psi_0\rangle$  due to the nuclear-spin fluctuations should merely renormalize the basic parameters, in inverse powers of  $H_Z$ , similarly to the Bloch-Siegert corrections to the rotating wave approximation,<sup>49</sup> without changing the main pattern of oscillations. Hence, they will be disregarded in what follows.

### A. Averaging over nuclear angular momenta

The probability  $W(t)$  depends on the nuclear-spin polarization through  $g_z$  and  $g_\pm$  of Eqs. (20) and (21) and  $f_\pm^{\text{hf}}$  of Eq. (28). Because experimental data are typically taken by averaging over dozens of thousand pulses covering time spans exceeding the nuclear-spin diffusion time,<sup>7-9</sup> average values of  $W(t)$  of Eq. (32) over all nuclear-spin configurations are of the principal interest. Calculating these average values is highly facilitated by the fact that the series for the ratio

$$\frac{\sin(\mathcal{H}t/\hbar)}{\mathcal{H}} = \frac{t}{\hbar} \sum_{k=0}^{\infty} (-)^k \frac{(\mathcal{H}t/\hbar)^{2k}}{(2k+1)!} \quad (33)$$

includes only even powers of  $\mathcal{H}$ . Therefore, each term of the power series for the averaged probability  $W(t)$

$$W(t) = \sum_{k,k'=0}^{\infty} \frac{(-)^{k+k'} (t/\hbar)^{2(k+k'+1)}}{(2k+1)! (2k'+1)!} \times \left\langle f_+ f_- \left[ \left( \frac{\Delta_s}{2} + g_z - \frac{1}{2} g_+ g_- \right)^2 + f_+ f_- \right]^{k+k'} \right\rangle_{0,\text{nuc}} \quad (34)$$

is a polynomial in the nuclear angular momenta  $\mathbf{I}_j$ . The subscript  $\{0,\text{nuc}\}$  indicates that both quantum averaging over  $\Psi_0$  and statistical averaging over nuclear momenta should be performed. Due to the weak interaction between nuclear momenta, only single-site correlators can be retained. Next simplification originates from the fact that for large quantum dots, containing about  $10^5 - 10^6$  nuclear spins, pair correlators statistically dominate; the contribution from higher correlators is estimated in Appendix B. Finally, of all pair correlators only  $\langle I_j^z I_j^z \rangle = I(I+1)/3$  and  $\langle I_j^+ I_j^- \rangle = 2I(I+1)/3$  do not vanish. This allows to separate the averaging over  $g_z$  from the averaging over  $g_\pm$  and  $f_\pm$ .

### B. Averaging over longitudinal magnetization

From Eqs. (18) and (21) follow an expression for  $g_z^2$  averaged over nuclear-spin configurations

$$\langle g_z^2 \rangle = \frac{1}{12} A^2 n_0 I(I+1) \int \int d\mathbf{r} dy \Psi_0^4(\mathbf{r}, y). \quad (35)$$

With oscillator ground-state functions  $\psi_0(x) = \exp(-x^2/2r_0^2)/\sqrt{\pi^{1/2}r_0}$  and  $\psi_0(z)$  in  $x$  and  $z$  directions, and hard wall confinement  $\psi(y) = \sqrt{2/d} \cos(\pi y/d)$  in  $y$  direction,  $\Psi_0(\mathbf{r}, y) = \psi_0(x)\psi(y)\psi_0(z)$  and

$$\langle g_z^2 \rangle = \Delta^2/2, \Delta = \sqrt{A^2 n_0 I(I+1)/8\pi r_0^2 d}. \quad (36)$$

By combinatorial arguments based on the multiplicity of possible pairings, expressing  $\langle g_z^{2M} \rangle$  in terms of pair correlators results in

$$\langle g_z^{2M} \rangle = (2M-1)!! \langle g_z^2 \rangle^M, \quad (37)$$

with  $(2M-1)!! = 1$  for  $M=0$ . Then, from the relation between  $(2M-1)!!$  and the Eulerian Gamma function

$$(2M-1)!! = 2^M \Gamma(M+1/2)/\sqrt{\pi}, \quad (38)$$

and from the integral representation of  $\Gamma(M+1/2)$

$$\Gamma\left(M + \frac{1}{2}\right) = \int_{-\infty}^{\infty} dt t^{2M} e^{-t^2} \quad (39)$$

valid for integer values of  $M$ , a Gaussian distribution for  $g_z$  follows

$$\langle g_z^{2M} \rangle = \int_{-\infty}^{\infty} dw w^{2M} \rho_\Delta(w), \quad (40)$$

$$\rho_\Delta(w) = \frac{1}{\Delta\sqrt{\pi}} \exp(-w^2/\Delta^2),$$

with a standard deviation  $\langle g_z^2 \rangle = \Delta^2/2$ .

Therefore, averaging Eq. (34) over the longitudinal magnetization  $g_z$  can be performed as a Gaussian integration with the distribution function  $\rho_\Delta(w)$  of Eq. (40).

### C. Averaging over transverse magnetization

Averaging Eq. (34) over  $I_j^\pm$  is more involved because these operators appear both in  $g_\pm$  and  $f_\pm$ . Applying Eqs. (18) and (20) results in an expression similar to Eq. (35), and finally results in

$$\langle g_+ g_- \rangle = \frac{A^2 n_0 I(I+1)}{4\pi\hbar\omega_s r_0^2 d}. \quad (41)$$

Average values of  $(g_+ g_-)^M$ , in the pair-correlator approximation, calculated from combinatorial arguments, are

$$\langle (g_+ g_-)^M \rangle = M! \langle g_+ g_- \rangle^M \equiv M! \Xi^{2M}. \quad (42)$$

From the integral representation

$$M! = \Gamma(M+1) = \int_0^\infty dt^M e^{-t}, \quad (43)$$

by changing the variable  $t = u^2/\Xi^2$ , one arrives at a Gaussian distribution

$$\langle (g_+g_-)^M \rangle = \int d^2\mathbf{u} u^{2M} \rho_\Xi(u),$$

$$\rho_\Xi(u) = \frac{1}{\pi\Xi^2} \exp(-u^2/\Xi^2). \quad (44)$$

Not surprisingly, the distribution is two dimensional. This reflects the two-dimensional nature of the transverse spin polarization  $I_j^\pm$ . Constants  $\Delta$  and  $\Xi$  are connected by a simple relation

$$\Delta = \sqrt{\hbar\omega_s/2}\Xi. \quad (45)$$

Condition of sharp spin resonance,  $\Delta \ll \hbar\omega_s$ , is tantamount to

$$\Xi^2/2\Delta \ll 1. \quad (46)$$

This condition of weak hyperfine-mediated spin-spin coupling will be used below.

Next step is averaging Eq. (34) over  $f_\pm^{\text{hf}}$ . It follows from Eq. (28) that

$$\langle f_+^{\text{hf}} f_-^{\text{hf}} \rangle = \frac{2}{3} n_0 I(I+1) \left( \frac{Ae\tilde{E}}{2m\omega_0^2} \right)^2 \int \int d\mathbf{r} dy \{ \partial_z [\Psi_0^2(\mathbf{r}, y)] \}^2, \quad (47)$$

and after performing all integrations

$$\langle f_+^{\text{hf}} f_-^{\text{hf}} \rangle = \frac{n_0 I(I+1)}{8\pi d} \left( \frac{Ae\tilde{E}}{\hbar\omega_0} \right)^2 \equiv \Omega^2. \quad (48)$$

The same combinatorial arguments that led to Eqs. (42)–(44) result in a two-dimensional Gaussian distribution

$$\langle (f_+^{\text{hf}} f_-^{\text{hf}})^M \rangle = \int d^2\mathbf{v} v^{2M} \rho_\Omega(v),$$

$$\rho_\Omega(v) = \frac{1}{\pi\Omega^2} \exp(-v^2/\Omega^2). \quad (49)$$

The last sort of pair products appearing in Eq. (34) are cross products  $g_+ f_-^{\text{hf}}$  and  $g_- f_+^{\text{hf}}$ . According to Eqs. (18), (20), and (28)

$$\langle g_+ f_-^{\text{hf}} \rangle \propto \int \int d\mathbf{r} dy \partial_z [\Psi_0^4(\mathbf{r}, y)] = 0, \quad (50)$$

hence, cross products vanish after the integration. Vanishing the cross products allows separating the averaging over the products  $g_+ g_-$  from averaging over  $f_+^{\text{hf}} f_-^{\text{hf}}$ . Potentially, cross products describe the effect of driving force on spin dynamics of the nuclear bath, but in the approximation accepted their average values vanish.

#### D. Mean field equation

It was shown in Secs. III B and III C that averaging over nuclear spins reduces to three integrations over the distributions  $\rho_\Delta(w)$ ,  $\rho_\Xi(u)$ , and  $\rho_\Omega(v)$ . For these integrations, the variables in Eq. (34) should be substituted as

$$g_z \rightarrow w, \quad g_+ g_- \rightarrow u^2, \quad f_+ f_- \rightarrow v^2.$$

Afterwards, both summations over  $k$  and  $k'$  in Eq. (34) can be performed, and one recovers the Rabi formula of Eq. (1) in which parameters  $\epsilon$  and  $f$  are expressed in terms of auxiliary variables  $\mathbf{u}$ ,  $\mathbf{v}$ , and  $w$ , over which integration should be performed. Finally

$$W(t) = \int_{-\infty}^{\infty} dw \rho_\Delta(w) \int d^2\mathbf{v} \rho_\Omega(v) \int d^2\mathbf{u} \rho_\Xi(u) \times v^2 \frac{\sin^2 \left( \sqrt{\left( \frac{\Delta_s}{2} + w - \frac{1}{2} u^2 \right)^2 + v^2 \frac{t}{\hbar}} \right)}{\left( \frac{\Delta_s}{2} + w - \frac{1}{2} u^2 \right)^2 + v^2}, \quad (51)$$

with  $\rho_\Delta(w)$ ,  $\rho_\Xi(u)$ , and  $\rho_\Omega(v)$  defined by Eqs. (40), (44), and (49), respectively. Equation (51) generalizes the equation applied in Ref. 8 to hyperfine-mediated EDSR by including nuclear-spin dynamics described by the term  $u^2/2$  and the corresponding integration.

The above derivation proves that as applied to EDSR the pair-correlator approximation is tantamount to a mean field theory described by Eq. (51). It was derived for the hyperfine-mediated EDSR. For the spin-orbit or magnetically mediated EDSR the integration over  $\mathbf{v}$  should be omitted and  $v^2$  should be substituted by  $f_+^{\text{SO}} f_-^{\text{SO}}$  or  $f_+^m f_-^m$ , respectively. Corrections to the mean field theory are estimated in Appendix B, where it is shown that they can acquire importance at a modest time span.

#### IV. SPIN-ORBIT AND MAGNETICALLY MEDIATED EDSR

In this case Eq. (51) simplifies and includes integration two variables,  $\mathbf{u}$  and  $w$ , with  $v^2$  substituted as  $v^2 \rightarrow f^2 = f_+ f_-$  with  $f_+^{\text{SO}} f_-^{\text{SO}}$  or  $f_+^m f_-^m$  of Eqs. (26) and (27) for the EDSR mediated by the spin-orbit or inhomogeneous magnetic-field mechanisms, respectively. The results are also applicable to the usual spin resonance driven by an ac magnetic field. It is convenient, by using the relation  $\sin^2 \phi = (1 - \cos 2\phi)/2$ , to split  $W(t)$  onto its asymptotic value  $W_\infty$  at  $t \rightarrow \infty$  and the time-dependent part  $W_1(t)$  as

$$W(t) = W_\infty - W_1(t), \quad (52)$$

and introduce dimensionless variables

$$\zeta = w/f, \quad \xi = u^2/2f, \quad \tau = ft/\hbar, \quad (53)$$

and parameters

$$\delta = \Delta_s/2f, \quad R = f/\Delta, \quad R_1 = 2f/\Xi^2. \quad (54)$$

Then

$$W_\infty = \frac{RR_1}{2\sqrt{\pi}} \int_{-\infty}^{\infty} d\zeta \int_0^\infty d\xi \frac{\exp(-R^2 \zeta^2 - R_1 \xi)}{(\delta + \zeta - \xi)^2 + 1}, \quad (55)$$

and

$$W_1(\tau) = \frac{RR_1}{2\sqrt{\pi}} \int_{-\infty}^{\infty} d\zeta e^{-R^2\zeta^2} \int_0^{\infty} d\xi e^{-R_1\xi} \times \frac{\cos(2\sqrt{(\delta+\zeta-\xi)^2+1}\tau)}{(\delta+\zeta-\xi)^2+1}. \quad (56)$$

$W_\infty$  can be calculated in the limit of weak driving force,  $R \ll 1$ , due to the criterion of Eq. (46); this regime is typical of most experiments. Indeed, the integrand includes a narrow Lorentzian  $\delta$  function  $\delta(\zeta+\delta-\xi) \approx \pi^{-1}/[(\zeta+\delta-\xi)^2+1]$  that allows performing integration over  $\zeta$  for  $R \ll 1$ . Afterwards, integration over  $\xi$  can be easily performed because the Gaussian exponent is nearly a constant due to the fact that  $2R^2\delta/R_1 = (\Delta_s/\Delta)(\Xi^2/2\Delta) \ll 1$  for any  $\Delta_s/\Delta \leq 1$  due to Eq. (46). Finally,

$$W_\infty \approx \frac{f}{2\sqrt{\pi}\Delta} \exp\left(-\frac{\Delta_s^2}{4\Delta^2}\right). \quad (57)$$

When Eq. (46) is satisfied,  $W_\infty$  does not depend on  $\Xi$ . General expression for  $W_\infty$  can be found from Eqs. (64)–(66) by omitting factors  $\cos(2s\tau)$  in integrands.

#### A. Simplifying the expression for $W_1(\tau)$

To simplify integral (56), it is convenient to introduce instead of  $\xi$  a new variable

$$s^2 = (\delta + \zeta - \xi)^2 + 1, \quad s \geq 0, \quad (58)$$

which allows expressing  $W_1(\tau)$  in terms of a Fourier transformation. Afterwards, integration over  $\zeta$  in Eq. (56) can be cut into parts in such a way that, after simple transformations like changing signs of variables, the integrations are performed over positive values of both variables. Finally, one arrives at

$$W_1(\tau) = W_1^{(1)}(\tau) + W_1^{(2)}(\tau) + W_1^{(3)}(\tau) \quad (59)$$

with

$$W_1^{(1)}(\tau) = \frac{RR_1}{2\sqrt{\pi}} \int_0^{\infty} d\zeta \exp[-R^2(\zeta+\delta)^2 + R_1\zeta] \times \int_{\sqrt{\zeta^2+1}}^{\infty} ds \frac{\exp(-R_1\sqrt{s^2-1})}{s\sqrt{s^2-1}} \cos(2s\tau), \quad (60)$$

$$W_1^{(2)}(\tau) = \frac{RR_1}{2\sqrt{\pi}} \int_0^{\infty} d\zeta \exp[-R^2(\zeta-\delta)^2 - R_1\zeta] \times \int_1^{\sqrt{\zeta^2+1}} ds \frac{\exp(R_1\sqrt{s^2-1})}{s\sqrt{s^2-1}} \cos(2s\tau), \quad (61)$$

$$W_1^{(3)}(\tau) = \frac{RR_1}{2\sqrt{\pi}} \int_0^{\infty} d\zeta \exp[-R^2(\zeta-\delta)^2 - R_1\zeta] \times \int_1^{\infty} ds \frac{\exp(-R_1\sqrt{s^2-1})}{s\sqrt{s^2-1}} \cos(2s\tau). \quad (62)$$

After introducing two auxiliary functions

$$G_\pm(\zeta) = \int_{-\infty}^{\zeta} du \exp[-R^2(u \pm \delta)^2 \pm R_1u], \quad (63)$$

$G_\pm(\infty)=0$ , Eqs. (60) and (61) can be performed by parts. This transformation reduces repeated integrals to onefold integrals because  $\zeta$  enters into internal integrals only through their limits. Finally

$$W_1^{(1)}(\tau) = \frac{RR_1}{2\sqrt{\pi}} \int_1^{\infty} ds \frac{G_+(\sqrt{s^2-1}) - G_+(0)}{s\sqrt{s^2-1}} \times \exp(-R_1\sqrt{s^2-1}) \cos(2s\tau), \quad (64)$$

$$W_1^{(2)}(\tau) = -\frac{RR_1}{2\sqrt{\pi}} \int_1^{\infty} ds \frac{G_-(\sqrt{s^2-1})}{s\sqrt{s^2-1}} e^{R_1\sqrt{s^2-1}} \cos(2s\tau), \quad (65)$$

$$W_1^{(3)}(\tau) = -\frac{RR_1}{2\sqrt{\pi}} \int_1^{\infty} ds \frac{G_-(0)}{s\sqrt{s^2-1}} e^{-R_1\sqrt{s^2-1}} \cos(2s\tau). \quad (66)$$

Because  $G_\pm(\zeta)$  can be expressed in terms of the Erfc function,  $\text{Erfc}(z) = (2/\sqrt{\pi}) \int_z^{\infty} \exp(-t^2) dt$ , integrals (63)–(66) can be easily calculated for any given set of parameter values. However, a wider insight comes from calculating their asymptotic behavior at large  $\tau$ .

#### B. Large- $\tau$ behavior of $W_1(\tau)$

Large- $\tau$  behavior of  $W_1(\tau)$  is controlled by the singularities of the integrands of Fourier integrals (63)–(66). All integrands decay exponentially at  $s \rightarrow \infty$ , and square-root behavior at  $s=1$  is their only singularity. Because the numerator of the integrand of  $W_1^{(1)}(\tau)$  vanishes at  $s=1$ , the singularity is softened. Therefore, the large- $\tau$  behavior is controlled by  $W_1^{(2)}(\tau)$  and  $W_1^{(3)}(\tau)$  due to  $\sqrt{s^2-1}$  in the denominators. For calculating the leading terms of the expansions, all other factors in the integrands can be taken at their  $s=1$  values, as  $G_-(\sqrt{s^2-1}) \rightarrow G_-(0)$ ,  $\exp(\pm R_1\sqrt{s^2-1}) \rightarrow 1$ , and  $s\sqrt{s^2-1} \rightarrow \sqrt{2}\sqrt{s-1}$ . The remaining integral reduces to Fresnel integrals and can be calculated exactly,

$$\int_1^{\infty} \frac{ds}{\sqrt{s-1}} \cos(2s\tau) = \sqrt{\frac{\pi}{2\tau}} \cos\left(2\tau + \frac{\pi}{4}\right). \quad (67)$$

The coefficient  $G_-(0)$  equals

$$G_-(0) = -\frac{\sqrt{\pi}}{2R} \exp\left(\frac{R_1^2}{4R^2} - R_1\delta\right) \text{Erfc}\left(\frac{R_1}{2R} - R\delta\right) \approx R_1^{-1} \exp(-\Delta_s^2/4\Delta^2); \quad (68)$$

the second part of Eq. (68) follows from Eq. (46). Remarkably, while the exact equation is asymmetric in  $\delta$ , the approximate expression is symmetric in the detuning  $\Delta_s$ . Finally, for  $t \rightarrow \infty$

$$W_1(t) \approx \frac{1}{2\Delta} \sqrt{\frac{\hbar f}{t}} \exp\left(-\frac{\Delta_s^2}{4\Delta^2}\right) \cos\left(\frac{2f}{\hbar}t + \frac{\pi}{4}\right). \quad (69)$$

Equation (69) was derived in a strict  $t \rightarrow \infty$  limit. Because of the existence in the problem of a large parameter  $R_1$

$\gg 1, R, R^{-1}$ , one cannot exclude the existence of some intermediate asymptotic valid for  $\tau$  up to some upper bound related to this parameter. To check this possibility, one must first take the limit  $R_1 \rightarrow \infty$  in Eq. (56). Then

$$W_1(\tau) = \frac{R}{\sqrt{\pi}} \int_1^\infty \frac{ds}{s\sqrt{s^2-1}} \cosh(2R^2\delta\sqrt{s^2-1}) \times \exp[-R^2(s^2-1+\delta^2)] \cos(2s\tau). \quad (70)$$

Applying an approach similar to that leading to Eq. (67), one arrives at Eq. (69). Therefore, it holds in the whole region  $1 \leq \tau < \infty$ . This type of universal oscillatory behavior with  $1/\sqrt{t}$  decay was first found in the  $R_1 \rightarrow \infty$  limit, experimentally and theoretically, by Koppens *et al.*<sup>32</sup>

### V. HYPERFINE-MEDIATED EDSR

To evaluate the threefold integral of Eq. (51), it is convenient to split it into two terms similarly to Eq. (52) and define dimensionless variables

$$\zeta = w/\Omega, \quad \eta = v/\Omega, \quad \xi = u^2/2\Omega, \quad \tau = \Omega t/\hbar \quad (71)$$

and parameters

$$R = \Omega/\Delta, \quad R_1 = 2\Omega/\Xi^2, \quad \delta = \Delta_s/2\Omega. \quad (72)$$

Then

$$W_\infty = \frac{RR_1}{\pi} \int_{-\infty}^\infty d\zeta e^{-R^2\zeta^2} \int_0^\infty d\xi e^{-R_1\xi} \times \int_0^\infty d\eta \eta^3 \frac{\exp(-\eta^2)}{(\delta + \zeta - \xi)^2 + \eta^2}, \quad (73)$$

and this integral can be evaluated in the  $R \ll 1$  limit by performing integration over  $\zeta$ . Using Eq. (46) one arrives at

$$W_\infty \approx (\pi\Omega/4\Delta) \exp(-\Delta_s^2/4\Delta^2) \quad (74)$$

that is similar to Eq. (57). More general expression for  $W_\infty$  can be found from Eq. (82) by omitting  $\cos(2\rho\tau)$  in the integrand.

Evaluating  $W_1(\tau)$  is the major challenge, and rewriting it as a sum of three integrals similar to Eq. (59) (each one including integrations only over positive values of all arguments) is the first step. Transformations are similar to the applied when deriving Eqs. (60)–(62), but for the reasons that will become clear in what follows it is convenient to choose a different parametrization. In terms of a variable  $\beta^2 = (\delta + \zeta - \xi)^2$ ,  $\beta > 0$ , chosen instead of  $\xi$ , Eq. (51) reads

$$W_1(\tau) = \frac{RR_1}{\sqrt{\pi}} \int_0^\infty d\eta \eta^3 e^{-\eta^2} \int_0^\infty d\zeta e^{-R^2(\zeta + \delta)^2 + R_1\zeta} \int_\zeta^\infty d\beta e^{-R_1\beta} \frac{\cos(2\sqrt{\beta^2 + \eta^2}\tau)}{\beta^2 + \eta^2} + \frac{RR_1}{\sqrt{\pi}} \int_0^\infty d\eta \eta^3 e^{-\eta^2} \int_0^\infty d\zeta e^{-R^2(\zeta - \delta)^2 - R_1\zeta} \int_0^\zeta d\beta e^{R_1\beta} \frac{\cos(2\sqrt{\beta^2 + \eta^2}\tau)}{\beta^2 + \eta^2} + \frac{RR_1}{\sqrt{\pi}} \int_0^\infty d\eta \eta^3 e^{-\eta^2} \int_0^\infty d\zeta e^{-R^2(\zeta - \delta)^2 - R_1\zeta} \int_0^\infty d\beta e^{-R_1\beta} \frac{\cos(2\sqrt{\beta^2 + \eta^2}\tau)}{\beta^2 + \eta^2}. \quad (75)$$

Introducing functions  $G_\pm(\zeta)$  of Eq. (63) and performing integrations over  $\zeta$  by parts allows eliminating integrations over  $\beta$ . The procedure is similar to deriving Eqs. (64)–(66). Finally

$$W_1(\tau) = \frac{RR_1}{\sqrt{\pi}} \int_0^\infty d\eta \eta^3 e^{-\eta^2} \times \int_0^\infty \frac{d\zeta}{\zeta^2 + \eta^2} F(\zeta) \cos(2\sqrt{\zeta^2 + \eta^2}\tau), \quad (76)$$

with

$$F(\zeta) = \tilde{G}_+(\zeta) e^{-R_1\zeta} - [G_-(\zeta) e^{R_1\zeta} + G_-(0) e^{-R_1\zeta}]. \quad (77)$$

Functions  $\tilde{G}_\pm(\zeta)$  are defined as complementary to  $G_\pm(\zeta)$

$$\tilde{G}_\pm(\zeta) = \int_0^\zeta du \exp[-R^2(u \pm \delta)^2 \pm R_1u] \quad (78)$$

and obey a relation

$$\tilde{G}_\pm(-\zeta) = -\tilde{G}_\mp(\zeta). \quad (79)$$

Equation (76) is the final expression for the time-dependent part of the transition probability.

#### A. Large- $\tau$ asymptotic of $W_1(\tau)$

Equation (76) demonstrates the convenience of the parametrization employed in Eq. (75). Indeed, square root in the argument of  $\cos(2\sqrt{\zeta^2 + \eta^2}\tau)$  can be conveniently eliminated by transforming to polar coordinates as  $\zeta = \rho \cos \phi$ ,  $\eta = \rho \sin \phi$ . Then Eq. (76) reads

$$W_1(\tau) = \frac{RR_1}{\sqrt{\pi}} \int_0^{\pi/2} d\phi \sin^3 \phi \times \int_0^\infty d\rho \rho^2 e^{-\rho^2 \sin^2 \phi} F(\rho \cos \phi) \cos(2\rho\tau). \quad (80)$$

The internal integral in Eq. (80) is a Fourier transformation, and its asymptotic behavior at large  $\tau$  is controlled by the singularities of the integrand. It is analytical for  $0 \leq \rho < \infty$ . When both  $R, R_1 \neq 0$ ,  $F(\zeta)$  decays exponentially with  $\zeta$ , hence,  $F(\rho \cos \phi)$  shows exponential decay for  $\phi \neq \pi/2$ . The factor  $\exp(-\rho^2 \sin^2 \phi)$  decays exponentially for  $\phi \neq 0$ . Therefore, the whole integrand decays exponentially for arbitrary value of  $\phi$ . To find out the behavior of  $F(\zeta)$  near  $\zeta = 0$ , it is convenient to transform Eq. (77) to an equivalent but a more symmetric form

$$F(\zeta) = [\tilde{G}_+(\zeta)e^{-R_1\zeta} - \tilde{G}_-(\zeta)e^{R_1\zeta}] - 2G_-(0)\cosh(R_1\zeta). \quad (81)$$

It is seen from the comparison of Eqs. (79) and (81) that  $F(\zeta)$  is an even function of  $\zeta$ ,  $F(-\zeta) = F(\zeta)$ , and because it is analytical at  $\zeta = 0$ , all its odd derivatives vanish at  $\zeta = 0$ . This observation allows extending the integration over  $\rho$  to the whole real axis,  $-\infty < \rho < \infty$ , and suggests that the integral vanishes faster than any finite power of  $\tau$ . Because this statement is valid for arbitrary  $\phi$ , it is valid also for the integral over  $\phi$ . Therefore, the decay of  $W_1(\tau)$  is of the exponential type, and this is apparently the most general statement that can be made. As distinct from Sec. IV B, I see no way for finding the  $\tau \rightarrow \infty$  behavior before performing expansion in inverse  $R_1$ .

Expanding  $F(\zeta)$  in  $R_1^{-1}$  provides a more specific outlook onto the asymptotic behavior of  $W_1(\tau)$  of Eq. (80). Because equations are rather bulky, results will be first provided for the resonance regime,  $\delta = 0$ . The leading term of the expansion of  $F(\zeta)$  is  $F(\rho \cos \phi) \approx (2/R_1)\exp(-R^2\rho^2 \cos^2 \phi)$ , and by substituting it into Eq. (80) one arrives at

$$W_1(\tau) = \frac{R}{\sqrt{\pi}} \int_0^{\pi/2} d\phi \sin^3 \phi \int_{-\infty}^{\infty} d\rho \rho^2 \times \exp[-\rho^2(\sin^2 \phi + R^2 \cos^2 \phi)] \cos(2\rho\tau). \quad (82)$$

The Gaussian integral over  $\rho$  can be performed exactly, but the result is rather cumbersome. It simplifies essentially when terms of the order of 1 are disregarded compared with the term  $\tau^2 \gg 1$ . Afterwards, the integration over  $\phi$  can be simplified by choosing a proper parametrization. Remarkably, for  $R=1$  the integrations over  $\rho$  and  $\phi$  separate, and  $W_1(\tau)$  shows a somewhat special behavior as will be seen from some examples in what follows.

For  $R < 1$ , by choosing

$$u = 1/[1 - (1 - R^2)\cos^2 \phi] \quad (83)$$

as a new variable, one finds

$$W_1(\tau) \approx -\frac{R\tau^2}{2(1-R^2)^{3/2}} \int_1^{1/R^2} du \frac{1-R^2u}{\sqrt{u-1}} e^{-u\tau^2}. \quad (84)$$

Because  $\exp(-u\tau^2)$ , in the asymptotic region of large  $\tau$ , decreases very fast with  $u$ , the main contribution to the integral comes from the close vicinity of the lower integration limit,  $u=1$ . However, the integrand in Eq. (84) either diverges in this point, for  $R \neq 1$ , or vanishes, for  $R=1$ . As a result, the asymptotic behavior of the integral is strongly influenced by the competition between  $\tau$  and  $|R-1|$ . For  $\tau^2(1-R) \gg 1$

$$W_1(\tau) \approx -\frac{R\sqrt{\pi}}{2\sqrt{1-R^2}} \tau e^{-\tau^2}. \quad (85)$$

In the experiments by Laird *et al.*,<sup>8</sup> the coefficient  $R$  was small,  $R \approx 10^{-2}$ . Nevertheless, it is instructive to consider a wider region of  $R$  values. Equation (85) is valid for  $\tau^2(1-R) \gg 1$ , but in the close vicinity of  $R=1$ , for  $1 \ll \tau^2 \ll |1-R|^{-1}$ , an intermediate asymptotic holds

$$W_1(\tau) \approx -\frac{2}{3} \tau^2 e^{-\tau^2}. \quad (86)$$

For  $R > 1$ , the following equation holds instead of Eq. (84):

$$W_1(\tau) \approx -\frac{R\tau^2}{2(R^2-1)^{3/2}} \int_{1/R^2}^1 du \frac{R^2u-1}{\sqrt{1-u}} e^{-u\tau^2}, \quad (87)$$

and integration near the lower limit, for  $\tau^2(R-1) \gg 1$ , results in

$$W_1(\tau) \approx -\frac{R^2}{2(R^2-1)} e^{-\tau^2/R^2}. \quad (88)$$

Despite the difference in the specific form of the asymptotic expressions of Eqs. (86)–(88), they have three major features in common. First, in all cases the decay is of exponential type, close to Gaussian, in agreement with our general conclusion. Second, as distinct from the Rabi oscillations typical of spin-orbit and magnetically mediated EDSR (see Sec. IV),<sup>7,9</sup> smooth time dependence is the distinctive feature of hyperfine-mediated EDSR.<sup>8</sup> Rabi oscillations are washed out by averaging over the fluctuations of the transverse nuclear magnetization. Third, in all cases  $W_1(\tau)$  is negative. This suggests that the probability  $W(t)$  of Eq. (52) approaches its limit value, at  $t \rightarrow \infty$ , from above, in agreement with a weak overshoot found numerically by Laird *et al.*<sup>8</sup>

Corrections to Eq. (82) from the higher order terms of the expansions of  $G_\pm(\zeta)$  and  $\tilde{G}_\pm(\zeta)$  in  $1/R_1$  contain the same Gaussian exponent  $\exp(-R^2\rho^2 \cos^2 \phi)$ . Their pre-exponential factors are small in  $(R/R_1)^2 = (\Xi^2/2\Delta)^2$  and  $(R^2\xi/R_1)^2$  what is actually the same because  $R\xi \lesssim 1$  due to the Gaussian exponent in Eq. (82). These corrections can also be estimated in terms of the time  $\tau$ , after performing both integrations in Eq. (82). They are of the order of  $(R^2\tau/R_1)^2 = [(\Omega/\Delta)(\Xi^2/2\Delta)]^2 \tau^2$  and can become important only for very large values of  $\tau$  because the estimate includes, in addition to the small parameter  $\Xi^2/2\Delta$ , Eq. (46), also a factor  $\Omega/\Delta$  that is typically small.

Equation (82) was derived for exact resonance,  $\delta=0$ . For  $\delta \neq 0$ , the leading term of the expansion of  $F(\zeta)$  in  $1/R_1 \ll 1$  acquires a factor  $\exp(-\delta^2 R^2) \cos(2\delta R^2 \zeta)$ . After performing the Gaussian integration over  $\rho$  in the modified Eq. (82), the leading term of the expansion in  $\tau^2 \gg 1$  acquires a factor  $\cos(2\delta\tau/\cos\phi)$ . After changing the variable from  $\phi$  to  $u$  according to Eq. (83), a factor  $\cos[2\delta\sqrt{u(1-R^2)}/(u-1)\tau]$  should be incorporated into the integrand of Eq. (84). Finally, one arrives at a generalized Eq. (85)

$$W_1(\tau) \approx -\frac{R\sqrt{\pi} \exp[-\delta^2(1-R^2)]}{2\sqrt{1-R^2}} \tau e^{-\tau^2}. \quad (89)$$

Remarkably, for  $R \ll 1$  the suppression of EDSR due to the factor  $e^{-\delta^2}$  is much stronger than in Eq. (69) where the suppression factor is  $e^{-\delta^2 R^2}$ . However, for  $R=1$  the suppression disappears at all. This is another manifestation of the special EDSR regime near the  $R=1$  point where the driving force coincides with the characteristic spectrum width.

One more difference between the off-resonance regime,  $\delta \neq 0$ , and the on-resonance regime,  $\delta=0$ , is in the structure of the  $1/R_1$  expansion series. The expansion coefficient  $R^2\tau/R_1$  is the same in both cases, but for  $\delta \neq 0$  the series includes all powers of  $R^2\tau/R_1$ , odd and even, while in the resonance regime odd terms vanish. In all cases the characteristic time of EDSR, when  $W(\tau)$  reaches its flat maximum, is  $\tau \sim 1$  or  $t \sim \hbar/\Omega$ .

## VI. CONCLUSIONS

For an electron in a quantum dot, coupled to a nuclear-spin reservoir by the hyperfine interaction, there exist at least three basic mechanisms of EDSR. They differ in the way the electron spin  $\sigma$  is coupled to an electric field  $\mathbf{E}(t)$ . The first one is traditional SO coupling of  $\sigma$  to electron momentum  $\mathbf{k}$ . For the second one,  $\sigma$  is coupled to the electron coordinate  $\mathbf{r}$  through the Zeeman term in an inhomogeneous macroscopic field  $\mathbf{B}(\mathbf{r})$ . For these two mechanisms, the effect of hyperfine coupling is reduced to detuning EDSR due to random fluctuations of the Overhauser field. For the third mechanism, such fluctuations play a more fundamental role: their transverse part, being spatially inhomogeneous, maintains coupling of  $\sigma$  to  $\mathbf{E}(t)$  through the oscillations of an electrically defined quantum dot driven by the field  $\mathbf{E}(t)$ . For this mechanism, the Overhauser field both drives EDSR and detunes it.

These three EDSR's differ in their dependence on the field  $\mathbf{B}$ , especially in the low- $B$  region, and on the duration  $t$  of the electric field pulse. In the paper, a theory of these three types of EDSR is developed from a unified standpoint for a regime corresponding to recent experiments,<sup>7-9</sup> where the signal was averaged over a long train of pulses, and therefore, over random fluctuations of Overhauser field.

The main challenges confronting developing a consistent theory of EDSR in such a system were discussed in Sec. I. They include (i) deriving a mean field theory, (ii) establishing the region of its applicability and investigating analytical properties of its solutions, and (iii) developing a procedure for going beyond the mean field and estimating the magnitude and analytical properties of the first corrections.

The approach developed in this paper is based on the following main steps. First, because the expansion of  $\sin(\mathcal{H}t/\hbar)/\mathcal{H}$  in power series includes only even powers of  $\mathcal{H}$ , Eq. (33), the *Golden Rule* formula for spin-flip probability  $W(t)$  can be expressed in terms of polynomials in components of nuclear angular momenta  $\mathbf{I}_j$ . Second, retaining only pair correlators (the usual assumption of mean field theory), the contributions of the longitudinal and transverse magnetization can be decoupled, and statistical weights of different contributions can be expressed in terms of  $\Gamma$  functions of half-integer and integer arguments for longitudinal and transverse magnetizations, respectively. Third, employing integral representations for these  $\Gamma$  functions, one arrives at Eq. (51) describing one-dimensional averaging over longitudinal fluctuations and two-dimensional averaging over transverse ones. Fourth, this procedure allows accounting for the higher correlators of nuclear spins in a regular way, as demonstrated in Appendix B.

The probability  $W(t)$  can be split into its saturation value  $W_\infty$  and time-dependent part  $W_1(t)$ ,  $W(t) = W_\infty - W_1(t)$ . Regarding their large- $t$  asymptotics, the spin-orbit and inhomogeneous Zeeman-coupling mediated EDSR show close similarity, and  $W_1(t)$  reduces to onefold integrals [Eqs. (64)–(66)]. As is well known, the large argument asymptotics of Fourier integrals are controlled by singularities of their integrands. Therefore, despite the complicated form of the integrands of Eqs. (64)–(66), they can be simplified near their only singular point  $s=1$  and reduced to Fresnel integrals. Finally, the leading term of the large- $t$  expansion of  $W_1(t)$  shows harmonic oscillations slowly decaying as  $t^{-1/2}$ , in agreement with Ref. 32. But in other aspects these two mechanisms are not identical, and the difference between them manifests itself most strongly in the  $B \rightarrow 0$  limit, where the requirements imposed by the Kramers theorem annihilate the first mechanism while the intensity of the second remains finite.

Investigating analytical properties of the hyperfine-mediated EDSR is a more demanding problem because it is controlled by the twofold integral of Eq. (76) that, after some simplifications (and for  $\Delta_s=0$ ), reduces to  $W_1(t)$  of Eq. (82). The remarkable property of the internal integral in Eq. (82) is the analytical behavior of its integrand in the whole interval  $(-\infty, \infty)$ . As a result, the double integral has a Gaussian type large- $t$  asymptotic (for details see Sec. V A). Interestingly, for all parameter values the probability  $W(t)$  approaches its asymptotic value from above. This analytical result is in excellent agreement with the numerical observation of Ref. 8 that  $W(t)$  is nearly monotonic with a weak overshoot of only about 10–15%. This smooth asymptotic differs critically from the oscillatory asymptotics typical of two previous EDSR mechanisms, and the overshoot can be considered as a remnant of Rabi oscillations that are washed out by averaging over the random transverse component of Overhauser field. In the low- $B$  domain,  $W(t)$  retains a finite value because the  $t$ -inversion symmetry is violated by the fluctuations of Overhauser field, in agreement with experimental data.<sup>8</sup>

The Gaussian type large- $t$  asymptotic is unique for the mean field theory, and accounting for the first four-spin correlator correction results in developing a power-law tail. Despite the fact that formally four-spin corrections are of the

order of  $1/N$ , this tail should become essential at rather moderate times  $t \propto \sqrt{\ln N}$ ,  $N$  being the number of nuclei in the dot. Early switching from the Gaussian to a power-law decay should result in flattening the overshoot, making it more difficult to observe (for a more detailed discussion see Appendix B).

Regarding the effect of nuclear-spin-spin coupling on EDSR, two issues are of importance. First, this coupling shows no resonant enhancement under the EDSR conditions, as proved in Appendix A. Second, whenever the condition of sharp resonance,  $\Delta/\hbar\omega_s \ll 1$ , is fulfilled, the parameter  $\Xi$  defining the effect of nuclear-spin dynamics on EDSR appears in equations mostly through the ratio  $\Xi^2/2\Delta = \Delta/\hbar\omega_s$  [cf. Eq. (45)]. This is because in Eq. (51) the value of  $u^2/2 \sim \Xi^2/2$  competes with  $w \sim \Delta$  [cf. Eqs. (40) and (44)]. We emphasize that  $\Xi^2$  never competes with the Rabi term  $f$  that can be small compared with  $\Delta$ . As a result, hyperfine-mediated nuclear-spin dynamics has no effect on EDSR as long as the criterion [Eq. (46)] is fulfilled. Explicit form of this criterion is

$$\frac{\Xi^2}{2\Delta} = \sqrt{\frac{(An_0)^2 I(I+1)}{8N\hbar\omega_0\hbar\omega_s}} \ll 1, \quad N = \pi r_0^2 dn_0. \quad (90)$$

For GaAs quantum dots with  $An_0 \approx 0.1$  meV,  $\hbar\omega_0 \approx 0.1$  meV,  $d \approx 5$  nm, and  $B \approx 100$  G, this ratio is small,  $\Xi^2/2\Delta \sim 10^{-2}$ , and criterion (90) is fulfilled.

The main restriction of the model employed in this paper is that it includes only “passive” processes in the nuclear subsystem such as spin relaxation, and does not include mechanisms that can result in pumping nuclear polarization by electron dynamics. Including such “active” processes and related instabilities in the coupled electron-nuclear system into the formalism developed above is the major challenge.

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#### APPENDIX A: DYNAMIC NUCLEAR-SPIN-SPIN COUPLING

Equation (20) was derived for a static Hamiltonian  $H_Z + H_{\text{hf}}^\dagger$ . For exploring the role of driving force on spin-spin coupling, one can use a model Hamiltonian

$$H = -\frac{1}{2}\hbar\omega_s\sigma_z + \frac{1}{2}\sqrt{\frac{\hbar\omega_s}{2}}\sum_j a_j(I_j^+\sigma_- + I_j^-\sigma_+) + \frac{1}{2}f(\sigma_+e^{i\omega t} + \sigma_-e^{-i\omega t}). \quad (A1)$$

Two first terms are identical to  $H_Z + H_{\text{hf}}^\dagger$ , with the second term

rewritten in terms of the coefficients  $a_j$  of Eq. (18). Last term describes the driving force in the rotating wave approximation, with  $f$  defined as  $f = f_\pm$  of Eq. (25). The corresponding rotating frame Hamiltonian is

$$\hat{H} = \hat{H}_0 + h(t), \quad \hat{H}_0 = \frac{1}{2}\Delta_s\sigma_z + f\sigma_x,$$

$$h(t) = \sqrt{\frac{\hbar\omega_s}{8}}\sum_j a_j(I_j^+\sigma_-e^{i\omega t} + I_j^-\sigma_+e^{-i\omega t}). \quad (A2)$$

For finding a dynamic analog of Eq. (20), the effect of  $h(t)$  on slow nuclear dynamics should be calculated in the second-order perturbation theory. To this end, by rotation in the spin space

$$\sigma_x = \frac{\sigma_1 - \gamma\sigma_3}{\sqrt{1 + \gamma^2}}, \quad \sigma_y = \sigma_2, \quad \sigma_z = \frac{\sigma_3 + \gamma\sigma_1}{\sqrt{1 + \gamma^2}}, \quad (A3)$$

with  $\gamma = \Delta_s/2f$ , the Hamiltonian  $\hat{H}_0$  simplifies as

$$\hat{H}_0 = f'\sigma_1, \quad f' = f\sqrt{1 + \gamma^2}, \quad (A4)$$

and  $h(t)$  can be transformed into the interaction representation  $\tilde{h}(t) = \exp(i\hat{H}_0 t/\hbar)h(t)\exp(-i\hat{H}_0 t/\hbar)$  by using

$$\sigma_1(t) = \sigma_1, \quad \sigma_2(t) = \sigma_2 - \sigma_3 \sin(2f't),$$

$$\sigma_3(t) = \sigma_3 + \sigma_2 \sin(2f't); \quad (A5)$$

here and below,  $f'$  is in frequency units. Then, calculating the second-order correction through a  $t$ -ordered product

$$\frac{(-i)^2}{2!} \int_0^t \int_0^{t_1} T\{\tilde{h}(t_1)\tilde{h}(t_2)\} dt_1 dt_2, \quad (A6)$$

eliminating all fast-oscillating terms proportional to  $e^{\pm i\omega t}$ , and exponentiating the result, one finds a hyperfine correction to the phase of the electron-spin wave function. Representing the phase factor as  $\exp[-iH_{\text{ss}}(t)t/\hbar]$  allows finding effective spin-spin coupling  $H_{\text{ss}}(t)$ .

Exact expression for  $H_{\text{ss}}(t)$  is rather bulky, but in the large  $\omega$  limit it essentially simplifies

$$H_{\text{ss}}(t) = -\frac{1}{2}\frac{\omega_s/\omega}{\sqrt{1 + \gamma^2}}\sum_{mn} a_m a_n I_m^+ I_n^- \times \left[ \frac{\gamma}{2} \left( 3 - \frac{\sin(4f't)}{4f't} \right) \sigma_1 + \frac{\sin^2(f't)}{f't} \sigma_2 + \sigma_3 \right]. \quad (A7)$$

Near the spin resonance  $\omega \approx \omega_s$ , and the factor  $\omega_s/\omega \approx 1$  can be omitted.  $H_{\text{ss}}(t)$  oscillates with the Rabi frequency  $f'$  and saturates for  $f't \rightarrow \infty$ . Expressing  $\{\sigma_1, \sigma_3, \sigma_3\}$  through  $\{\sigma_x, \sigma_y, \sigma_z\}$  by using Eq. (A3), one can check that at short times,  $f't \ll 1$ ,  $H_{\text{ss}}(t)$  coincides with  $H_{\text{ss}}$  of Eq. (20). In the opposite limit, for  $\gamma \gg 1$  and  $f't \gg 1$ ,  $H_{\text{ss}}(t) \approx 3H_{\text{ss}}/2$ .

Therefore,  $H_{\text{ss}}(t)$  shows no resonant enhancement at  $\omega \rightarrow \omega_s$  and has a magnitude comparable to  $H_{\text{ss}}$  of Eq. (20) and the same sign. In physical terms, this result suggests that the hyperfine dynamics near the resonance,  $\Delta_s \approx 0$ , is strong

enough to smear the rotating frame singularity and reduce its effect to moderate changes in the nuclear-spin relaxation rate that acquires oscillatory behavior. Regarding the significance of this conclusion, see discussion at the end of Sec. II C.

### APPENDIX B: EFFECT OF HIGHER CORRELATORS

Equation (51) was derived including only pair correlators of nuclear spins  $\langle I_{m,n}^{\alpha} I_{m,n}^{\beta} \rangle = \langle I_{\alpha} I_{\beta} \rangle \delta_{mn}$  (Sec. III A). In this Appendix, the effect of higher correlators on EDSR intensity will be estimated as applied to the operators  $f_{\pm}^{\text{hf}}$  of Eq. (28). To simplify bulky calculations, terms  $g_z$  and  $g_+g_-$  in the Hamiltonian of Eq. (25) will be omitted.

In the pair-correlator approximation, a correlator  $F_M = \langle (f_+ f_-)^M \rangle$  including  $M$  pairs of operators  $f_{\pm}$ , was evaluated as

$$\mathcal{F}_M = M! \langle I_+ I_- \rangle^M \left( \sum_m b_m^2 \right)^M. \quad (\text{B1})$$

This estimate came from counting the number of possible  $I_m^+ I_n^-$  pairings without any constraints imposed on  $m$  and  $n$ . However, such a count overestimates the contribution of pair correlators that should be found from

$$F_M^{(0)} = M! \langle I_+ I_- \rangle^M \sum_{m_1 \neq m_2 \neq \dots \neq m_M} b_{m_1}^2 b_{m_2}^2 \dots b_{m_M}^2. \quad (\text{B2})$$

Indeed, each sharing of indices such as  $m_1 = m_2$  results in higher correlators such as  $\langle (I_+ I_-)^2 \rangle$ . Therefore, one needs to evaluate the effect of this constraint on  $W(t)$ . For this evaluation, it is enough to keep in Eq. (B2) only the principle sequence of corrections that includes terms with a number of pairs of shared indices, such as  $m_1 = m_2$ , but does not include triple shared indices, such as  $m_1 = m_2 = m_3$ , and terms with a larger number of shared indices. Their contribution is of higher order in  $1/N$ , where  $N = \pi r_0^2 d n_0$  is the effective number of nuclei in the dot.

Each term of the correlator  $\mathcal{F}_M^{(0)}$  involving  $s$  pairs of shared indices  $m_j$  makes a contribution

$$(-)^s \mathcal{F}_M \frac{M!}{s! 2^s (M-2s)!} \left( \sum_m b_m^4 \right)^s / \left( \sum_m b_m^2 \right)^{2s}. \quad (\text{B3})$$

Here  $0 \leq s \leq s_{\text{max}}$ , with  $s_{\text{max}} = M/2$  when  $M$  is even and  $s_{\text{max}} = (M-1)/2$  when  $M$  is odd. The factor with factorials equals the multiplicity of independent selections of  $s$  pairs of shared indices  $m_j$ . The origin of the sign factor  $(-)^s$  becomes clear when one eliminates, in succession, first a single pair of shared indices, then the second, etc. Last factor can be calculated using definition (28) of  $b_m$  with  $\Psi_0(\mathbf{r}, y)$  of Sec. III B

$$\sum_m b_m^4 / \left( \sum_m b_m^2 \right)^2 = 35/24N. \quad (\text{B4})$$

Therefore, in the principle sequence approximation,  $F_M^{(0)}$  equals to

$$F_M^{(0)} \approx \mathcal{F}_M \sum_{s=0}^{s_{\text{max}}} (-)^s \frac{M!}{s! (M-2s)!} \left( \frac{35}{48N} \right)^s. \quad (\text{B5})$$

Estimating a few first terms of the series shows that the expansion parameter is  $M^2/N$ . It corresponds to a typical fluctuation

$M \sim \sqrt{N}$  in the nuclear reservoir. With  $M \gg 1$  and  $s \ll M$ , the ratio  $M! / (M-2s)! \approx M^{2s}$ , and Eq. (B5) is an exponential series. It converges fast, and one can extend summation to infinity. Then

$$F_M^{(0)} \approx \mathcal{F}_M \exp(-35M^2/48N). \quad (\text{B6})$$

Therefore, constraint (B2) results in an exponential factor of Eq. (B6).

For calculating the effect of constraint (B2) on  $W(\tau)$ , Eqs. (B1) and (B6) should be plugged into Eq. (34) (with  $g_z, g_{\pm} = 0$ ), and  $M!$  should be eliminated by applying averaging over the Gaussian distribution of Eq. (49). Then one arrives at

$$\begin{aligned} W^{(0)}(t) \approx & \int d^2 \mathbf{v} \rho_{\Omega}(\mathbf{v}) \sum_{k,k'=0}^{\infty} \frac{(-)^{k+k'} (t/\hbar)^{2(k+k'+1)}}{(2k+1)! (2k'+1)!} \\ & \times \sum_{\nu=0}^{k+k'} \frac{(k+k')!}{\nu! (k+k'-\nu)!} \left( \frac{\Delta_s}{2} \right)^{2\nu} \\ & \times \left[ v^{2M} \exp\left(-\frac{35M^2}{48N}\right) \right]_{M=k+k'-\nu+1}, \quad (\text{B7}) \end{aligned}$$

where the superscript zero indicates that  $W^{(0)}$  includes only pair correlators. With the last exponential factor omitted, the sum over  $\nu$  is a binomial  $v^2 [(\Delta_s/2)^2 + v^2]^{k+k'}$ , and Eq. (B7) reduces to a simplified version of Eq. (51) with only a single integration.

In resonance,  $\Delta_s = 0$ , the only characteristic time scale is  $\hbar/\Omega$ . For  $\tau = t\Omega/\hbar \sim 1$ , sums over  $k$  converge fast, at  $k, k' \sim 1$ . Therefore,  $M \sim 1$  and the effect of the exponential factor  $\exp(-35M^2/48N)$  is small, only about  $1/N$ . For large detuning,  $\Delta_s \gg \Omega$ , a short time scale  $\hbar/\Delta_s$  develops. However, it was shown in Sec. V A that EDSR frequency fluctuations  $\Delta/\hbar$  of a scale  $\Delta \gtrsim \Delta_s/2$  [that were omitted when deriving Eq. (B7)] reestablish the  $\hbar/\Omega$  scale, hence, the  $1/N$  estimate should remain valid for the hyperfine-mediated EDSR.

The difference between  $F_M^{(0)}$  and  $\mathcal{F}_M$  reflects the reduction in the contribution of two-spin correlators, for given  $M$ , because of constraint (B2). Next step is estimating the direct contribution of higher correlators, and this will be done for  $\Delta_s = 0$ . The contribution of a single four-spin correlator  $\langle (I_+ I_-)^2 \rangle$  to  $W(t)$  equals

$$\begin{aligned} W^{(0)}(t) \approx & \sum_{k,k'=0}^{\infty} \frac{(-)^{k+k'} (t/\hbar)^{2(k+k'+1)}}{(2k+1)! (2k'+1)!} \\ & \times \frac{7}{4N} \left[ \mathcal{F}_M \frac{M(M-1)}{4} \right]_{M=k+k'+1}. \quad (\text{B8}) \end{aligned}$$

The coefficient is a product of the ratio  $\langle (I_+ I_-)^2 \rangle / \langle I_+ I_- \rangle^2 = 6/5$  evaluated for classical spins and the ratio of  $b$  sums of Eq. (B4). The contribution of pair correlators was estimated in the principle sequence approximation, similarly to Eq. (B3). The multiplier  $M(M-1)/4$  reflects change in the combinatorial factor due to adding a single four-spin correlator and reducing the number of two-spin correlators by two (total number of spin operators kept fixed). Exponential factor about  $\exp(-36M^2/48N)$  is omitted.

The factorial  $M!$  in  $\mathcal{F}_M$  can be eliminated by introducing a Gaussian variable  $v$  and performing Gaussian integration according to Eq. (49). Afterwards, the factor  $M(M-1)$  can be eliminated in the integrand by substituting it by derivatives such as  $Mv^M = v d(v^M)/dv$ . This transformation allows performing summations over  $k$  and  $k'$ , and one arrives at

$$W^{(1)}(t) \approx \frac{7}{8N\Omega^2} \int_0^\infty dv v^3 e^{-v^2/\Omega^2} \times \left[ \left( v^2 \frac{d}{d(v^2)} \right)^2 + v^2 \frac{d}{d(v^2)} \right] \frac{\sin^2(vt/\hbar)}{v^2}. \quad (\text{B9})$$

The integral can be simplified by performing by parts. Finally, in terms of  $\tau = t\Omega/\hbar$ ,

$$W^{(1)}(\tau) = \frac{7}{8N} \int_0^\infty du u (2 - 4u^2 + u^4) e^{-u^2} \sin^2 u \tau. \quad (\text{B10})$$

Its asymptotic behavior for  $\tau \gg 1$

$$W^{(1)}(\tau) \approx 7/(16N\tau^2); \quad (\text{B11})$$

the power-law decay originates due to finite slope of the integrand at  $u=0$ . Therefore, at the time span of  $\tau \sim 1$ , first correction to mean field behavior of  $W(t)$  from the four-spin correlator is of the order of  $1/N$ , i.e., of the same order as correction (B7) from the reduction in the number of pair

correlators. A new feature is the time dependence of  $W^{(1)}(\tau)$ . While the Gaussian asymptotics of Eqs. (85)–(88) are a distinctive property of the mean field theory of hyperfine-mediated EDSR, Eq. (B11) indicates a much slower decay of the corrections to this theory. The power-law asymptotic deserves a more detailed study, however, a cancellation of power-law terms and return to the exponential asymptotic behavior seems improbable. Remarkably, the power-law tail of Eq. (B11) suggests deviation from the mean field behavior at rather modest times of about  $\tau \approx \sqrt{\ln N}$ . For  $N=10^5$ , this  $\tau$  is only about  $\tau \approx 3$ . With such an early switching from the fast  $\tau \exp(-\tau^2)$  decay of Eq. (85) to slow  $1/\tau^2$  decay of Eq. (B11), the maximum of  $W(\tau)$  at  $\tau \sim 1$  should be smoothed. Such a smoothing can explain the absence of a well-expressed maximum (within the spread of experimental points) in the 44-mT curve in Fig. 3(a) of Ref. 8.

It deserves mentioning that Eq. (B7) has implications beyond the simplified model of the hyperfine-mediated EDSR for which it was derived. Spin-orbit and magnetically mediated EDSRs show oscillatory behavior, Sec. IV B, and because the convergence of the series describing oscillations slows down rather fast with the number of oscillations, this immediately translates into an increase in the corrections proportional to  $M^2 \approx (k+k')^2$ , according to Eq. (B7). Therefore, the magnitude of the corrections to mean field theory is expected to increase essentially with the number of oscillations.

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